

**Historically Underutilized Businesses  
Subcontracting Plan (Form 1)**

**This form is required as part of the Historically Underutilized Businesses (HUB) Subcontracting Plan. Failure to include this form and the applicable forms specified herein will result in automatic disqualification of your response to the offering document.**

Vendor Company Name: Northrop Grumman Information Technology

Vendor Identification Number: 1730934115800/69606

Department of Information Resources Offering Document Number: EIR-TMP-03-014

1. The Department of Information Resources has determined that HUB subcontracting opportunities are probable. Is your company proposing to subcontract any portion of this contract?

☒ Yes – Complete the following forms:

Determination of Good Faith Effort (Form 2)

Solicitation of HUB Subcontractors (Form 3)

Selected Subcontractors (Form 4)

What percentage of the proposed work is to be performed by your company?

10%

☐ No – Complete the Statement of Intent (Form 5)

2. Is your company certified as a HUB by the State of Texas?

☐ Yes    ☒ No

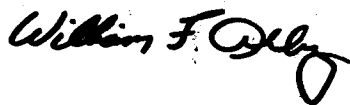
I have read and understand the Department of Information Resources' Policy on Utilization of HUBs.

WILLIAM F. AHLBERG

Authorized Representative Name

MANAGER OF CONTRACTS AND SUBCONTRACTS/SMALL BUSINESS LIAISON OFFICER

Authorized Representative Title



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Authorized Representative Signature

February 14, 2003

Date

**HUB Subcontracting Plan  
Determination of Good Faith Effort (Form 2)**

Vendor Company Name: Northrop Grumman Information Technology

Department of Information Resources Offering Document Number: EIR-TMP-03-014

The potential vendor must make a good faith effort in development of the HUB Subcontracting Plan. Please provide answers to the questions below and provide necessary documentation to support the answers. The Department of Information Resources may review the supporting documentation to determine if a good faith effort was made in accordance with applicable 1 TAC rules and the contract specifications. If it is determined that a good faith effort was not made, the vendor response to the offering document shall be rejected as a material failure to comply with advertised specifications. The reasons for rejection shall be recorded in the project file.

1. Did your company divide the contract work into reasonable portions in accordance with prudent industry practices? ☒ Yes   ☐ No
2. Did your company send notices containing adequate information about bonding, insurance, the plans, the specifications, scope of work, and other requirements of the contract to three or more qualified HUBs allowing five working days from receipt of notice for HUBs to participate effectively? ☐ Yes   ☒ No
3. Did your company advertise the subcontracting opportunities in general circulation, trade association, and/or other minority/women focused media? ☐ Yes   ☒ No
4. Did your company assist non-certified HUBs to become certified? ☐ Yes   ☒ No
5. Did your company negotiate in good faith with qualified HUBs, not rejecting qualified HUBs who were the best value responsive bidder? ☒ Yes   ☐ No
6. Did your company document reasons for rejection or meet with rejected HUBs to discuss the rejection? ☐ Yes   ☒ No

**HUB Subcontracting Plan  
Solicitation of HUB Subcontractors (Form 3)**

**Page 1 of 1**

Use a separate form for each subcontract solicited. Identify each HUB to which a notice of solicitation was given. Use continuation pages if necessary.

Vendor Company Name: Northrop Grumman Information Technology

Department of Information Resources Offering Document Number: EIR-TMP-03-014

Brief Description of Work to be Performed: DISASTER RECOVERY SERVICES

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Vendor's Estimate of Dollar Value of Subcontract: 20% OF THE WORK RECEIVED

1. Name of HUB Subcontractor/Supplier: RFD AND ASSOCIATES

Address: 401 CAMP CRAFT ROAD, AUSTIN, TX 78746

Phone: (512) 347-9411

Owner(s): ANNE DAVIS

If TBPC HUB certified, provide Vendor Identification Number: 1742736774700/69004

If not TBPC HUB certified, select one of the following:

☐ Black American Male  
☐ Black American Female

☐ Hispanic American Male  
☐ Hispanic American Female

☐ Native American Male  
☐ Native American Female

☐ Asian Pacific American Male  
☐ Asian Pacific American Female

☒ Woman

2. Name of HUB Subcontractor/Supplier: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Owner(s): \_\_\_\_\_

If TBPC HUB certified, provide Vendor Identification Number: \_\_\_\_\_

If not TBPC HUB certified, select one of the following:

☐ Black American Male  
☐ Black American Female

☐ Hispanic American Male  
☐ Hispanic American Female

☐ Native American Male  
☐ Native American Female

☐ Asian Pacific American Male  
☐ Asian Pacific American Female

☐ Woman

3. Name of HUB Subcontractor/Supplier: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Owner(s): \_\_\_\_\_

If TBPC HUB certified, provide Vendor Identification Number: \_\_\_\_\_

If not TBPC HUB certified, select one of the following:

☐ Black American Male  
☐ Black American Female

☐ Hispanic American Male  
☐ Hispanic American Female

☐ Native American Male  
☐ Native American Female

☐ Asian Pacific American Male  
☐ Asian Pacific American Female

☐ Woman

**HUB Subcontracting Plan  
Selected Subcontractors (Form 4)**

Please submit a separate form for each subcontractor selected for work on the proposed contract.

Vendor Company Name: Northrop Grumman Information Technology

Department of Information Resources Offering Document Number: EIR-TMP-03-014

Vendor's Estimate of Dollar Value of Subcontract: Please see note below

Vendor's Estimate of Percentage of the Dollar Value of the Proposed Contract: 20%

Vendor's Estimate of Percentage of the Proposed Work: 20%

Duration of Subcontract: EQUAL TO THE DURATION OF THE PRIME CONTRACT

Name of Subcontractor/Supplier: RFD AND ASSOCIATES

Address: 401 CAMP CRAFT ROAD, AUSTIN, TX 78746

Phone: (512) 347-9411 X133 Owner(s): ANNE DAVISON

Is the subcontractor a TBPC certified HUB? ( X ) Yes ( ) No

If yes, provide Vendor Identification Number: 1742736774700/69004

Description of materials/services to be performed under the agreement with the subcontractor for amount indicated above:

1. DISASTER RECOVERY PLANNING

NOTE: THIS IS AN INDEFINITE DELIVERY INDEFINITE QUANTITY CONTRACT WITH NO IDENTIFIED CONTRACT AMOUNT. THE PERCENTAGE IS A GOOD FAITH COMMITMENT TO OUR HUB PARTNER

**HUB Subcontracting Plan  
Selected Subcontractors (Form 4)**

Please submit a separate form for each subcontractor selected for work on the proposed contract.

Vendor Company Name: Northrop Grumman Information Technology

Department of Information Resources Offering Document Number: EIR-TMP-03-014

Vendor's Estimate of Dollar Value of Subcontract: Please see note below

Vendor's Estimate of Percentage of the Dollar Value of the Proposed Contract: 70%

Vendor's Estimate of Percentage of the Proposed Work: 70%

Duration of Subcontract: EQUAL TO THE DURATION OF THE PRIME CONTRACT

Name of Subcontractor/Supplier: SUNGARD

Address: 4500 FULLER DRIVE, IRVING, TX 75038-6518

Phone: (800) 301-7077 Owner(s): CONTACT NAME: TOM GAYLE

Is the subcontractor a TBPC certified HUB? ( ) Yes (X) No

If yes, provide Vendor Identification Number: \_\_\_\_\_

Description of materials/services to be performed under the agreement with the subcontractor for amount indicated above:

1. DISASTER RECOVERY PLANNING

2. DISASTER RECOVERY OPERATIONS

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